

JOINT ASSIGNMENT

MARC R. MAYBERG

WHEREAS, MATTHEW A. HOWARD, MARKETS C. M. SEAN GRADY, ROGERS C. RITTER and GEORGE T. GILLIES, hereinafter referred to as the ASSIGNORS, have jointly invented a certain improvement relating to MAGNETIC STEREOTACTIC SYSTEM FOR TREATMENT DELIVERY, for which they jointly executed on even date an application for Letters Patent to be filed in the United States Patent Office;

AND WHEREAS, THE UNIVERSITY OF VIRGINIA ALUMNI PATENTS FOUNDATION, of 1224 West Main Street, Charlottesville, Virginia 22901, hereinafter referred to as the ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patents which may be granted therefor, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNORS hereby assign, sell, and transfer to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title, and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patents which may be granted therefor, including any and all renewals, reissues, and prolongations thereof, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise, and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patents which may be granted therefor, including any and all renewals, reissues, and prolongations thereof, with all the rights, powers, privileges, and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patents when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as said ASSIGNORS might or could have held and enjoyed the same, if this assignment had not been made.

AND said ASSIGNORS hereby agree to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNORS this <u>23</u> day of <u>OctoBEK</u>, 198 9, at

Matthew A. Howard

Mark Mayberg

M. Sean Grady

M. Sean Grady

Rogers C. Ritter

Mayberg

Rogers C. Ritter

George T. Gillies

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. * Ge	orge T Cillian Dy Inventor (S)
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PATENT

Application of: Howard, et al.

Serial Number: 09/114,414 Attorney's Docket No.: 3176-5280

Filed: July 13, 1998

For: Magnetic Stereotactic System for Treatment Delivery

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS [37 CFR 1.9(f) and 1.27(c)]

•	SMALL BUSINESS CONCER	N	
I hereby declare that I am:			

x an officer of the small business concern identified below:

NAME OF CONCERN: Stereotaxis, Inc.

ADDRESS: 4041 Forest Park Avenue

St. Louis, Missouri 63108

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Magnetic Stereotactic System for Treatment Delivery, by: The University of Virginia Patent Foundation

descri	ibed in:	•		
<u>x</u> _	the specification filed herewith. Application Serial No. <u>09/114,414</u> , Patent No. <u>_</u> , issued <u>_</u> .	filed	July 13,	<u>1998</u> .

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NAME: ADDRESS:		
		•
INDIVIDUAL	SMALL BUSINESS CONCERN	 NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)].

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Bevil J. Hogg

TITLE IN ORGANIZATION:

President and CEO

ADDRESS OF PERSON SIGNING:

4041 Forest Park Avenue

St. Louis, Missouri 63108

SIGNATURE

DATE

Docker No. UVA

DECLARATION FOR PATENT APPLICATION

named inventor, I hereby declare that:

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